

X16357

1/10

PCT REQUEST

Original (for SUBMISSION)

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT-SAFE [EASY mode] Version 3.50 (Build 0002.162)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	X16357
I	Title of Invention	6 - (2,2,2-TRIFLUOROETHYLAMINO) - 7 - CHLORO - 2,3,4,5-TETRAHYDRO-1H-BENZO[d]AZEPINE AS A 5-HT _{2C} RECEPTOR AGONIST
II	Applicant	
II-1	This person is	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	ELI LILLY AND COMPANY
II-5	Address	Lilly Corporate Center Indianapolis, Indiana 46285 United States of America
II-6	State of nationality	US
II-7	State of residence	US
II-8	Telephone No	317-433-9829
II-9	Facsimile No.	317-276-3861
III-1	Applicant and/or inventor	
III-1-1	This person is	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	GALKA, Christopher, Stanley
III-1-5	Address	13690 North Stone Haven Drive Carmel, Indiana 46033 United States of America
III-1-6	State of nationality	US
III-1-7	State of residence	US

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III-2	Applicant and/or inventor	
III-2-1	This person is	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	RODRIGUEZ, Michael, John
III-2-5	Address	7649 Gordonshire Court Indianapolis, Indiana 46278 United States of America
III-2-6	State of nationality	US
III-2-7	State of residence	US
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	TUCKER, R., Craig
IV-1-2	Address	ELI LILLY AND COMPANY P. O. Box 6288 Indianapolis, Indiana 46206-6288 United States of America
IV-1-3	Telephone No.	317-433-9829
IV-1-4	Facsimile No	317-276-3861
IV-1-6	Agent's registration No.	45,165
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	GAYLO, Paul, J. (36,808)
V	DESIGNATIONS	
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.	
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	11 August 2003 (11.08.2003)
VI-1-2	Number	60/494,208
VI-1-3	Country	US
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1

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VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VIII	Declarations	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	1	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	

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VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)	in relation to this international application ELI LILLY AND COMPANY is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1(i)		ELI LILLY AND COMPANY is entitled as employer of the inventor, GALKA, Christopher, Stanley
VIII-2-1(i)		ELI LILLY AND COMPANY is entitled as employer of the inventor, RODRIGUEZ, Michael, John
VIII-2-1(x)	This declaration is made for the purposes of.	all designations except the designation of the United States of America

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VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)) Name	in relation to this international application ELI LILLY AND COMPANY is entitled to claim priority of earlier application No. 60/494,208 by virtue of the following:
VIII-3-1(i v)		an assignment from GALKA, Christopher, Stanley to ELI LILLY AND COMPANY, dated 15 October 2003 (15.10.2003)
VIII-3-1(i v)		an assignment from RODRIGUEZ, Michael, John to ELI LILLY AND COMPANY, dated 15 October 2003 (15.10.2003)
VIII-3-1(i x)	This declaration is made for the purposes of:	AP: (BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW); EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PL PT RO SE SI SK TR); OA: (BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG); AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH& LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW